

AUDIT COMMITTEE

24 September 2013

AUDIT COMMITTEE WORK PROGRAMME 2013/14

REPORT OF CHIEF FINANCE OFFICER

Contact Officer: Alexis Garlick Tel No: 01962 848224 Email:
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RECENT REFERENCES:

None

EXECUTIVE SUMMARY:

On Tuesday 18 June 2013 members of the Audit Committee participated in a training evening, facilitated by Barry Cornelius Associates Limited, which involved undertaking a self- assessment of the effectiveness of Audit Committee.

One of recommendations arising from the self-assessment was that the Committee should consider a work programme.

An Audit Committee Work Programme for 2013 – 14 is now attached for the approval of the Committee. In future years this will be taken to the first meeting of the Municipal Year.

RECOMMENDATIONS:

1. That the Audit Committee Work Programme for 2013/14 be approved.

MEETING 25 JUNE 2013	Lead	Report No
<u>AUDIT</u>		
<u>Internal Audit</u>		
Internal Audit Partnership	Neil Pitman - Chief Internal Auditor/ Head of Partnership, Southern Internal Audit Partnership	oral
Internal Audit Annual Report 2012/13	Amanda Chalmers – Principal Auditor	AUD060
<u>External Audit</u>		
External Audit Plan 2012/13	Kate Handy – Director, Ernst and Young	AUD059
Annual Audit Fee 2013/14 (revised)	Kate Handy – Director, Ernst and Young	AUD063
<u>REGULATORY FRAMEWORK</u>		
Risk Management Policy & update	Simon Eden – Chief Executive	AUD057
Corporate Governance Report & Annual Governance Statement	Stephen Whetnall – Chief Operating Officer	AUD061
Local Audit & Accountability Bill	Alexis Garlick – Chief Finance Officer	AUD058
<u>ACCOUNTS</u>		
Annual Financial Report 2012/13 (pre-audit update)	Alexis Garlick - Chief Finance Officer	AUD062
MEETING 24 SEPTEMBER 2013		
<u>AUDIT</u>		
<u>Internal Audit</u>		
Internal Audit Charter	Antony Harvey - Audit Group Manager, Southern Internal Audit Partnership	AUD064
Internal Audit Progress Report	Antony Harvey- Audit Group Manager, Southern Internal Audit Partnership	AUD066
Internal Audit Plan 2013/14 (Revised)	Antony Harvey- Audit Group Manager, Southern Internal Audit Partnership	AUD065
<u>External Audit</u>		
Audit Results Report for the year ended 31 March 2013	Kate Handy – Director, Ernst and Young	AUD068
<u>REGULATORY FRAMEWORK</u>		
Audit Committee Work Programme	Alexis Garlick - Chief Finance Officer	AUD069
<u>Treasury management</u>		
Treasury Management Stewardship Review (2012/13)	Alexis Garlick - Chief Finance Officer	AUD070
<u>ACCOUNTS</u>		
Annual Financial Report 2012/13 (for approval)	Alexis Garlick - Chief Finance Officer	AUD067
MEETING 3 DECEMBER 2013		
<u>AUDIT</u>		
<u>Internal Audit</u>		
Internal Audit Progress Report	Antony Harvey- Audit Group Manager, Southern Internal Audit Partnership	
<u>External Audit</u>		
Annual Audit Letter	Kate Handy – Director, Ernst and Young	
<u>REGULATORY FRAMEWORK</u>		
Audit Committee Self Assessment Review	Alexis Garlick - Chief Finance Officer	
Annual Governance Statement & Corporate Risk Monitoring Report	Stephen Whetnall - Chief Operating Officer	
<u>Treasury management</u>		
Treasury Management Mid-year Review (2013/14)	Alexis Garlick - Chief Finance Officer	

AUDIT

Internal Audit

Internal Audit Progress Report

Public Sector Internal Audit Standards (Internal Audit Charter)

Audit Plan 2014/15

External Audit

Certification of Claims and Returns Annual Report 2012/13

REGULATORY FRAMEWORK

Fraud & Corruption Policy

Annual Governance Statement & Corporate Risk Monitoring Report

Treasury management

Treasury Management Policy & Practices

ACCOUNTS

Statement of Accounts 2013/14 - considerations

Antony Harvey- Audit Group Manager,
Southern Internal Audit Partnership
Antony Harvey- Audit Group Manager,
Southern Internal Audit Partnership
Antony Harvey- Audit Group Manager,
Southern Internal Audit Partnership

Kate Handy – Director, Ernst and
Young

Antony Harvey- Audit Group Manager,
Southern Internal Audit Partnership
Stephen Whetnall - Chief Operating
Officer

Alexis Garlick - Chief Finance Officer

Alexis Garlick - Chief Finance Officer